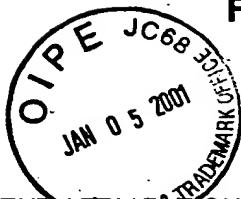


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION



COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): NAKAJIMA

Appln. No.: 09

630557

Atty.Dkt.

PM

271790

NI-0005PCTUS

Series Code ↑

Serial No. ↑

M#

Client Ref

Filed: August 2, 2000

Title: ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT APPARATUS, AND TERMINAL

Attn: Application Division

Hon. Commissioner of Patents
Washington, DC 20231

Date: January 5, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☐ Signed Declaration attached. ☐ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☒ Abstract
 - b. ☐ # 99 pages of Specification (only spec. & claims)
 - c. ☒ Drawing(s) 43
No of Sheets
 - ☒ Fig(s). 45
4. ☒ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/JP99/04178	August 2, 1999	(2) PCTJP00/02014	March 29, 2000
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(b)

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☒ Attached: Form PTO-1449, Foreign Search Report and Verification of English Translation
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$320/\$160		106/26
Not Design Application				\$710/\$355	+0	101/201
14. Total Effective Claims	61	minus 20 =	41	x \$18/\$9	+144	103/203
15. Independent Claims	10	minus 3 =	7	x \$80/\$40	+560	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+270	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+0	105/205
18. FILING FEE ENCLOSED =				\$974		
19. Original due date: November 26, 2000						
20. Petition is hereby made to extend the original due date to				(1 mo)	\$110/\$55 =	+390
cover the date this response is filed for which the requisite fee				(2mos)	\$390/\$195 =	115/215
is attached				(3mos)	\$890/\$445 =	116/216
				(4mos)	\$1390/\$695 =	117/217
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee				\$40	+0	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$1364		

Our Deposit Account No. 03-3975

Our Order No. 7874

271790

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

01/09/2001 ETULU1 00000075 09630557

01 FC:103 144.00 OP

02 FC:102 560.00 OP

03 FC:104 270.00 OP

04 FC:116 390.00 OP

Pillsbury Winthrop LLP

Intellectual Property Group

1100 New York Avenue, NW

Ninth Floor

Washington, DC 20005-3918

Tel: (202) 861-3000

Atty/Sec: GJP/cac

By Atty: Glenn J. PerrySig: 

Reg. No. 28458

Fax:

(202) 822-0944

Tel:

(202) 861-3070

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

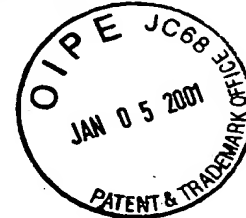
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attention: OFFICE OF PUBLICATIONS #3In re PATENT APPLICATION ofInventor(s): NAJAKIMAAppln. No.: 09630557Atty. Dkt. PM271790NI0005PCTUSSeries Code ↑Serial No. ↑M#Client Ref

Filed: August 2, 2000

Title: ELECTRONIC SETTLEMENT SYSTEM,
SETTLEMENT APPARATUS, AND TERMINAL

Date: January 5, 2001

FILING OF FORMAL DRAWING(S)Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

1. Please accept the herewith 43 sheet(s)
2. of formal drawing(s) on ☒ A4 ☐ 11" size paper
3. of Figure(s) 1-45
4. of which Figure(s) 45 is/are **black and white** photographic drawings (DO NOT use this form for photographic drawings in color (see PAT-280).
5. ☐ which is/are in lieu of the informal drawing(s) filed earlier.
6. ☐ which include the corrections required/approved by the Draftsperson/Examiner
7. In the Notice of Allowability (PTO-37) dated _____

NOTE

- A. PTO waived requirement for 3 sets of B/W photos June 9, 1998, 1211 OG 34;
- B. PTO waived requirement for petition and petition fee for B/W photos August 4, 1998, 1213 OG 108.
- C. Petition and fee are still required for photographs which are in color. See Rule 84(a)(2).

A,B,C were confirmed in 9/8/00 Rule 84 changes**EXTENSIONS NOT AVAILABLE Re NOTICES OF
ALLOWABILITY ISSUED ON/AFTER 11/7/00**

		Large/Small Entity	Fee Code
8. Original due date:	<input checked="" type="checkbox"/> NONE		
9. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =	115/215
	(2 mos)	\$390/\$195 =	116/216
	(3 mos)	\$890/\$445 =	117/217
10.	TOTAL FEE ENCLOSED		\$

(Our Deposit Account No. 03-3975)

(Our Order No. 2717907874C#M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918

Tel: (202) 861-3000

Atty/Sec: GJP/cac

By Atty: Glenn J. PerryReg. No. 28458Sig: [Signature]

Fax: (202) 822-0944

Tel: (202) 861-3070

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments

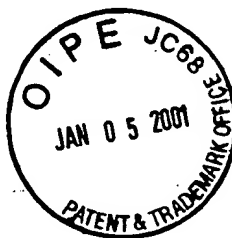


UNITED STATES PATENT AND TRADEMARK OFFICE

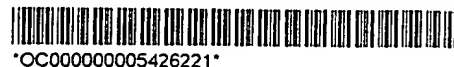
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20531
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/630,557	08/02/2000	Keiichi Nakajima	PM 271790 NI-0005PCTUS

Pillsbury Madison & Sutro LLP
Intellectual Property Group
Ninth Floor
1100 New York Avenue NW
Washington, DC 20005-3918



FORMALITIES LETTER



OC000000005426221

Date Mailed: 09/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

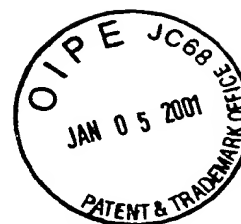
PART 2 - COPY TO BE RETURNED WITH RESPONSE

/2001 ETULU1 00000075 09630557

103	144.00 CP
02	560.00 CP
04	270.00 CP
05	320.00 CP

#3

VERIFICATION



The undersigned hereby declares that he is conversant with Japanese and English languages and that he is the translator of the documents attached and certifies that to the best of his knowledge and belief the attached is a true and correct translation of:

09/630,557 filed in U. S. on August 2, 2000.

佐田美香

Mika SATA
c/o RYUKA IP LAW FIRM
Toshin Bldg., 6F, 24-12, Shinjuku 1-chome,
Shinjuku-ku, Tokyo, Japan

Dec. 25th 2000
Date

09/630,557-080200